

Noble Auditing Workshop

Course Description

In theory, Audit is uniquely positioned to drive extraordinary value for the firm. In practice, many auditors fall into the trap of checklisting, nitpicking, and playing "gotcha" with middle management. The five pillars of Noble Auditing provide a structure for auditors to fulfill the true, noble purpose of audit.

By combining sound theory with hands-on examples and exercises, this course will help auditors learn what matters most to their stakeholders, find the most egregious issues, and communicate them in a manner that will drive action and assure audit's seat at the leadership table.

Learning Objectives/Outcomes

After completing this session, attendees will be able to:

- Implement a structured methodology for effective engagement with management
- Describe the elements of a good board/audit committee relationship
- Effectively plan audit activities to align with company priorities and risks
- Identify critical stakeholders and allies in effectively communicating results
- Describe the "Noble Auditing" mindset and identify cultural 'red flags'

About the Instructor

Ken Ramaley, CIA, CRMA, of Ramaley Group, LLC has more than 20 years of experience in Risk Management, process improvement, and advanced analytics. Ken has worked extensively in application of analytical methods to the audit function. He holds a patent for an advanced audit sampling methodology and additionally, launched a highly effective automated audit testing practice in the financial services industry, which has been heralded as best-in-class.

Ken has consistently challenged traditional audit and risk management roles. He has effectively partnered with Auditors and Boards of Directors to establish methodologies to ensure that all and only the most significant concerns are identified and escalated - ensuring prompt action when they are. He has helped senior audit leaders to establish and maintain control-focused cultures within audit and across their firms.

Intended Audience

Auditors ranging from "new hire" to CAE will benefit from the skills conveyed in Noble Auditing.

This course is intended for anyone who desires to audit the most important areas of their organizations, in the most efficient manner, and to communicate their results for action.

This course has no pre-requisites.